

10/524034  
 DTB Rec'd PCT/PTO 09 FEB 2006

PTO/SB/08a/b (08-03)  
 Approved for use through 07/31/2006. OMB 0651-0031  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	Not Yet Assigned	
			Filing Date	Concurrently Herewith	
			First Named Inventor	Graham, R. Eastham	
			Art Unit	N/A	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	31229-213441

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number-Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
SW	BA**	WO 01/68583	09-20-2001			
SW	BB**	WO 96/19434	06-27-1996			
SW	BC**	WO 01/72697	10-04-2001			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*\* CITE NO.: Those document(s) which are marked with an double asterisk (\*\*) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Sikarl Witherspoon/	Date Considered	10/18/2006
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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				Application Number	10/524,034
				Filing Date	February 9, 2005
				First Named Inventor	Graham R. EASTHAM <i>et al.</i>
				Group Art Unit	To Be Assigned
				Examiner Name	To Be Assigned
				Attorney Docket Number	31229-213441
Sheet	1	of	1		

## U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
SW	A1	5,149,868		DRENT	09-22-1992	
↓	A2	4,960,926		DRENT	10-02-1990	
	A3	5,189,003		KLUSENER <i>et al.</i>	02-23-1993	
	A4	5,258,546		KLUSENER <i>et al.</i>	11-02-1993	
	A5	5,028,576		DRENT <i>et al.</i>	07-02-1991	
	A6	5,099,062		DRENT <i>et al.</i>	03-24-1992	
	A7	5,103,043		DRENT <i>et al.</i>	04-07-1992	
	A8	5,158,921		DRENT <i>et al.</i>	10-27-1992	
	A9	5,166,116		DRENT <i>et al.</i>	11-24-1992	
	A10	5,177,253		DRENT <i>et al.</i>	01-05-1993	
	A11	5,179,225		DRENT <i>et al.</i>	01-12-1993	
	A12	5,149,868		DRENT	09-22-1992	
	A13	4,786,443		DRENT <i>et al.</i>	11-22-1988	
	A14	5,004,604		TERNESS <i>et al.</i>	04-02-1991	
	A15	5,210,280		DRENT	05-11-1993	
	↓	A16	6,348,621		WANG <i>et al.</i>	02-19-2002
	A17	6,706,912		DRENT <i>et al.</i>	03-16-2004	
SW	A18	6,743,911		DRENT <i>et al.</i>	06-01-2004	

## FOREIGN PATENT DOCUMENTS

[illegible]

## OTHER PRIOR ART – PENDING PATENT APPLICATIONS

Examiner Initials *	Cite No. <sup>1</sup>	
SW	A22	"Handbook of Chemistry and Physics," 76 <sup>th</sup> Ed., LIDE, David R., et al., C.R.C. Press, 1995.
SW	A23	"Homogeneous Transition Metal Catalysis – A Gentle Art." MASTERS, C. Chapman and Hall, 1981.

**Examiner Signature**

/Sikarl Witherspoon

**Date Considered**

10/18/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete If Known**

Application Number	10/524,034
Filing Date	October 11, 2005
First Named Inventor	Graham R. EASTHAM <i>et al.</i>
Group Art Unit	1621
Examiner Name	To Be Assigned
Attorney Docket Number	31229-213441

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		Office	Number <sup>4</sup>	Code <sup>5</sup> (if known)				

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Examiner Initials *	Cite No. <sup>1</sup>	

Examiner Signature	/Sikarl Witherspoon/	Date Considered	10/18/2006
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